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PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS UNITED STATES PATENT AND TRADEMARK OFFICE WASHINGTON, D.C. 20231

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APPLICATION NUMBER

FILING/RECEIPT DATE

FIRST NAMED APPLICANT

ATTORNEY DOCKET NUMBER

09/975,442

10/11/2001

Jennifer Anne Dervin

IBM / 204

CONFIRMATION NO. 9166

FORMALITIES LETTER

OC000000007062277

Scott A. Stinebruner Wood, Herron & Evans, L.L.P. 2700 Carew Tower 441 Vine Street Cincinnati, OH 45202-2917

Date Mailed: 11/13/2001

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The signature of the following inventor(s) is missing from the oath or declaration: Robert Miller
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(I) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 130.

A copy of this notice <u>MUST</u> be returned with the reply.

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

PATENT



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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants:

Jennifer Anne Dervin et al.

Date:

January 3, 2002

Serial No.:

09/975,442

Confirmation No.:

9166

Filed:

October 11, 2001

Group Art Unit:

2152

For:

DYNAMIC CLUSTER VERSIONING

Examiner:

Unassigned

FOR A GROUP

Assistant Commissioner for Patents Box Missing Parts Washington, D.C. 20231

Sir:

LETTER

In response to the Notice To File Missing Parts of Application mailed November 13, 2001, enclosed herewith is a Declaration executed by the inventors, whose signatures did not appear on the Declaration as originally filed.

Authorization is hereby given to charge any fees, including fees under 37 CFR 1.16(e), to Deposit Account 09-0465. A duplicate copy of this letter is enclosed along with a copy of the Notice.

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Assistant Commissioner for Patents, Box Missing Parts, Washington, D.C. 20231, on

January 3, 2002.
(Date of Deposit)

Steven W. Roth

Respectfully submitted,

By:

Steven W. Roth

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Telephone: (507) 253-1600

Docket No.:

ROC920010168US1

Serial No.:

09/975,442